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Combined use of optical and radar satellite data for the detection of tillage and irrigation operations: Case study in Central Morocco

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ABSTRACT

The objective of this study is to present a new application of optical and radar remote sensing with high spatial (~10 m) and temporal (a few days) resolutions for the detection of tillage and irrigation operations. The analysis was performed for irrigated wheat crops in the semi-arid Tensift/Marrakech plain (Central Morocco) using three FORMOSAT-2 images and two ASAR images acquired within one week at the beginning of the 2005/2006 agricultural season.

The approach we developed uses simple mapping algorithms (band thresholding and decision tree) for the characterisation of soil surface states. The first images acquired by FORMOSAT and ASAR were processed to classify fields into three main categories: ploughed (in depth), prepared to be sown (harrowed), and not ploughed-not harrowed. This information was combined with a change detection analysis based on multitemporal images to identify harrowing and irrigation operations which occurred between two satellite observations.

The performance of the algorithm was evaluated using data related to land use and agricultural practices collected on 124 fields. The analysis shows that drastic changes of surface states caused by ploughing or irrigation are detected without ambiguity (consistency index of 96%). This study provided evidence that optical and radar data contain complementary information for the detection of agricultural operations at the beginning of agricultural season. This information could be useful in regional decision support systems to refine crop calendars and to improve prediction of crop water needs over large areas.

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2.1. Remote sensing data and processing

The FORMOSAT–2 Taiwanese satellite was launched in May 2004. The Remote Sensing Instrument (RSI) onboard FORMOSAT–2 provides high spatial resolution images (8 m in the multispectral mode at nadir viewing) in four narrow spectral bands ranging from 0.45 μm to 0.90 μm (blue, green, red and near-infrared). Unlike other systems operating at high spatial resolution, FORMOSAT–2 may observe a particular area every day with the same viewing angle. However, only a part—about the half—of the Earth may be observed. More details about the specific orbital cycle and other characteristics of the FORMOSAT–2 mission could be found in Chappell et al. (2006).

Chappell et al. (2006), however, these last properties experience a much lower spatio-temporal variability than surface roughness and topsoil moisture.

Synthetic Aperture Radar (SAR) imagery is known to be governed by two key parameters: surface roughness and soil dielectric constant, the latter being linked to soil moisture (e.g. Ulaby et al., 1986; Beaudoin et al., 1990; Fung et al., 1992; Benallegue et al., 1995; Davidson et al., 2000; Zribi et al., 2005). The general trends of the radar response as a function of both these surface parameters and the sensor characteristics (frequency, incidence, polarisation) are well captured by backscatter models, but the operational applicability of inversion schemes is still challenging. The explanation is twofold: (1) land surfaces are complex and it is difficult to estimate appropriate roughness parameters (Davidson et al., 2000), (2) the relative impact of surface roughness, top-soil moisture and vegetation canopies is hard to decouple (Wagner et al., 1999; Satalino et al., 2003). Given the sensitivity of optical and radar data to surface roughness and topsoil moisture, the recent design of satellite sensors providing both high spatial resolution (~10 m) and frequent revisit time (a few days) may offer interesting perspectives. This is the case of: (1) the FORMOSAT–2 satellite (http://www.nspso.org.tw), launched in May 2004, which can observe a particular area every day at 8 m spatial resolution in the multispectral mode; (2) the Advanced Synthetic Aperture Radar (ASAR) onboard the ENVISAT mission (http://envisat.esa.int/), which provides images at a spatial resolution of about 30 m in the Alternating Polarisation mode. The orbital cycle of ENVISAT/ASAR is 35 days, but the revisit time is a few days when acquisitions with different sun-target-sensor geometry are combined.

In Duchemin et al. (2008a), we have illustrated how some agricultural operations (disking, harrowing, irrigation) cause rapid changes in surface reflectances derived from time series of FORMOSAT images. In this study, the objective is to apply mapping algorithms on both FORMOSAT and ENVISAT/ASAR data for the detection of these agricultural operations over a short period of time. The analysis was performed for an irrigated area located in the semi-arid Tensift/Marrakech plain in Morocco—where wheat crops are dominant. We used three FORMOSAT images and two ASAR images acquired within one week at the beginning of the 2005–2006 wheat cropping season.

After the presentation of this material (Section 2), we successively discussed (Sections 3–5) the methods and results in relation to three questions: (1) How to identify tillage practices using the first satellite observations? (2) How to monitor harrowing and irrigation operations between two successive observations? and (3) How to map soil management practices by combining the previous results? Conclusions and perspectives were then drawn in Section 6.
2.2. Experimental set up

The experiment was set up during the 2005–2006 wheat agricultural season on an irrigated area located at 40 km East of Marrakech. This area was intensively monitored as part of the SUDMED program (Chehbouni et al., in press; Duchemin et al., 2006, 2008a,b; Hadria et al., 2006, 2007; Er-Raki et al., 2007). It covers about 2800 ha and is almost flat (slope less than 1%), with deep soil of xerosol type and a fine, clay to loamy, texture.

Land-use information and soil management practices were collected on 124 fields within the study area. The fields were delineated by digitizing the FORMOSAT images, and, after the filtering procedure, they can be easily identified on ASAR images (Fig. 1). Amongst these fields, about the quarter (35) was not cultivated. The remaining fields were cultivated with wheat under conventional or reduced tillage practices on 64 and 25 fields, respectively.

Table 1 presents the timing of the successive soil management operations associated to conventional tillage (CT) and reduced tillage (RT). In the first case, the soil is ploughed to a depth of 30–40 cm immediately or few weeks after harvest (July to October). In both cases (CT and RT), the soil is harrowed to a depth of 10–15 cm a few days or just before the sowing (November to December) in order to level the surface and to prepare seedbed. In what follows these two operations are referred to as ploughing and harrowing, respectively. Finally, wheat seeds are placed using a conventional planter with a seeding rate ranging from 100 to 150 kg/ha (Hadria et al., 2007). Sowing dates ranged between November 28, 2005 and January 05, 2006. The fields were not flattened with a roller after sowing and there was no significant difference in soil surface states between harrowed and sown fields.

The study area is subdivided in many irrigation units that consist of an average of six fields of 4 ha (e.g. units 116 and 123 in Fig. 1). Irrigation is of flooding type, with the water provided by the regional public agency in charge of dam water management (ORMVAH). Irrigation water is supplied to the fields through an aerial network of concrete channels. About 3 weeks are required to irrigate the whole area. In 2005, the first irrigation round started on December 12 (see Table 1).

Climate is basically of semi-arid continental type. Climatic data were provided by a meteorological station installed at the center of the study area (near irrigation unit 116, see Fig. 1), and five rain gauges of the ORMVAH network located in the vicinity of the study area. According to these data, the beginning of the 2005–2006 agricultural season was very dry. Two minor rainfall events (10–15 mm) occurred mid- and end-November, after which no rain was recorded until December 21. As a consequence, the soil moisture was low, except in case of irrigation. Soil water content was measured using a gravimetric method on eight fields (3–5 samples by field) between November 26 and December 20. Soil moisture ranged from 0.04 to 0.09 m3/m3 in the top layer (0–3 cm), and from 0.07 to 0.19 m3/m3 in the 3–15 cm layer. Given the clay-loamy texture of soils, these values indicate very dry surfaces. Another consequence of this drought is that plant emergence was delayed. This year, emergence mainly occurred after the first effective rainfall at the end of December (see Duchemin et al., 2008b).

3. Characterisation of initial soil state—identification of tillage practices

The optical and radar responses were first analysed from the two first images, i.e. the FORMOSAT image acquired on December 7 and the ASAR image acquired on December 10, both before the first irrigation round. The average values of surface reflectances (ρ) and backscattering coefficient (σ0) were computed over all the fields of study. The detection of surface states was thus investigated at the field scale, without accounting for the intra-field variability. We restricted the analysis to the fields with a minimum size of 1.6 ha; this corresponds to about 100 ASAR pixels, resulting in an accuracy on σ0 of 0.3 dB at 90% of confidence interval.

Fields were distinguished using four categories: (1) non-cultivated; (2) already sown, thus harrowed; (3) not yet harrowed – Conventional Tillage (ploughed); (4) not yet harrowed – Reduced Tillage (not ploughed). The two first categories were directly extracted from the field database, while we retained the fields that were sown the latest (from December 27, 2005 to January 5, 2006) to define the two last categories. These categories are associated to different surface roughness (see Davidson et al., 2000 for a statistical study), and three distinctive groups of fields were discriminated in order to analyse the radar and optical responses:

1) Group P – Ploughed fields – corresponding to the 3rd category.
2) Group H – Harrowed fields – corresponding to the 2nd category.
3) Group NP/NH – Nor Ploughed/Neither Harrowed fields – which encompasses the 1st and 4th categories.

Fig. 2 shows the comparison between field-averaged reflectances (ρNIR, FORMOSAT observations) and backscattering coefficients (σ0V, ASAR observations). The dynamic ranges of satellite observations were the largest for the near infrared (NIR) waveband and the vertical (VV) polarisation, but additional plots obtained with other FORMOSAT spectral bands and with backscattering coefficient in horizontal polarisation look quite similar (not shown here).

Table 1. Timing of successive agricultural operations for wheat fields under conventional and reduced tillage. Starting and/or ending day is indicated when known.
4. Change detection—identification of ploughing and irrigation practices

Time series of field-averaged near infrared reflectances ($\rho_{\text{NIR}}$ from FORMOSAT, December 8, 12 and 16, constant sun-target-sensor geometry) and backscattering coefficients ($\sigma_0^\text{VV}$ from ASAR, December 10 and 13, with two different incidence angles) were analysed on several particular fields for which the timing and the nature of agricultural operations were accurately collected. Fig. 3a-f shows three typical cases of $\rho_{\text{NIR}}$ and $\sigma_0^\text{VV}$ time courses on fields where no change, harrowing or irrigation occurred.

4.1. Stable surfaces

Fig. 3a and b display four fields where no changes were observed between the first and the last satellite observations. $\rho_{\text{NIR}}$ remains quite stable around a value that depends on the initial surface state, with a slight positive slope of about 3% in relative value (Fig. 3a). The slight increase of reflectances can be due to residual error in atmospheric correction, the small decrease of sun elevations between December 8 and 16, or a trend of the surface to become smoother (erosion) and/or dryer (last rainfall observed on November 30).

In contrast of reflectances, there is a general decrease of backscattering coefficients from December 10 to 13 (Fig. 3b) due to the increase of ASAR incidence angle from IS5 to IS7 configuration. The level of $\sigma_0^\text{VV}$ appears governed by surface roughness, though quite the same for old ploughed and recently harrowed fields. The decrease of $\sigma_0^\text{VV}$ with incidence angle appears similar for both smooth and rough surfaces (around -1.7 dB). This appears promising in the perspective to normalise ASAR images acquired in different geometric configurations.

4.2. Harrowing

Fig. 3c and d present five fields that were harrowed between December 8 and 16. Looking at $\rho_{\text{NIR}}$ and $\sigma_0^\text{VV}$ time courses on the three adjacent fields within irrigation unit 123 (full lines in Fig. 3c and d) is very instructive. Indeed, a visual examination of the second FORMOSAT image acquired on December 12 allows to verify that the farmer was harrowing the p2 field at the exact time of the satellite overpass; more precisely, the p3 field was already totally harrowed, about two-thirds of the p2 field was harrowed, while the p1 field was not yet harrowed. This is consistent with the time courses of near infrared reflectances (Fig. 3c): $\rho_{\text{NIR}}$ displays a continuous decrease of reflectances between December 8 and 16 on the p2 field while the decrease is more obvious and limited in time, either between December 8 and 12 (p3 field, harrowed before the second FORMOSAT overpass) or between December 12 to 16 (p1 field, harrowed after the second FORMOSAT overpass). In contrast, the temporal variation of $\sigma_0^\text{VV}$ (Fig. 3d) appears the same as those of stable areas, even for p2 field that was harrowed on December 12 between the two ASAR acquisitions. This is due to the fact that these fields were ploughed in depth before being harrowed (conventional tillage).

Looking at $\rho_{\text{NIR}}$ and $\sigma_0^\text{VV}$ time courses on the two remaining fields (irrigation unit 20, dotted lines in Fig. 3c and d) confirmed that both ASAR and FORMOSAT offer the possibility to detect

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These fields were not ploughed before being harrowed, and there is a sharp increase of $\sigma_{0V}^0$ between December 10 and 13, which contrasts with the general decreasing trend. This atypical behaviour is caused by the increase of surface roughness after harrowing. For these two fields, it is thus possible to use both radar and optical images to date the harrowing between December 10 (ASAR first acquisition) and 12 (FORMOSAT second acquisition).

4.3. Irrigation

In Fig. 3e and f, the time courses of near infrared reflectances and backscattering coefficients are plotted for four fields where irrigation occurred before December 16. These fields, which belong to irrigation unit 116, were all sown around December 1. The field database also indicates that the whole irrigation of unit 116 (6 fields, see Fig. 1) was achieved in nine days, between December 12 and 20, starting by p1 field. These irrigations can be clearly detected by a drop of $\rho_{NIR}$ between December 12 and 16 and a rise of $\sigma_{0V}^0$ between December 10 and 13. This is not surprising since irrigation results in both an increase of topsoil moisture and a decrease of surface roughness (water erosion).

However, the schedule deduced from the two types of images appears somewhat inconsistent. Looking at FORMOSAT images and $\rho_{NIR}$ time courses (Fig. 3e) allowed to state that: (a) p1 and p2 fields were irrigated between December 12 and 16; (b) p3 field was under irrigation at the exact time of satellite overpass, on December 16; (c) the p4 field was not yet irrigated on December 16. This is consistent with the information recorded by field survey: two fields and half were irrigated in less than four days; this duration is coherent with the period of nine days needed to irrigate the six fields of the irrigation unit. On the other hand, $\sigma_{0V}^0$ increases on the p1 field, the p2 field and, though on a less extent, on the p3 field (Fig. 3f). This seems to indicate that these three

Fig. 3. Time courses of field-averaged reflectances ($\rho_{NIR}$, December 8–16: subparts a, c and e) and backscattering coefficients ($\sigma_{0V}^0$, December 10–13, 2005: subparts b, d and f) over particular fields with different land use and agricultural operations. The legend indicates soil surface state (subparts a and b) and field number/irrigation unit (subparts c–f).
fields were irrigated before December 13, in contradiction with the previous statements on irrigation duration. This anomaly is the result of the filtering applied on radar data, which is based on the assumption of surface stability on a spatial neighboring (11 pixels, about 140 m). It appears that fields with low $\sigma_0^{VV}$ in the surroundings of fields with large $\sigma_0^{VV}$ appeared contaminated, and their backscattering coefficients were overestimated. This contamination is noticeable around irrigation unit 116 in field p1 as well as in some other parts of the study area (e.g. nearby the two northern studied fields or at the eastern part of the study area, see Fig. 1). In patchy agricultural landscapes, it may be more appropriate to incorporate the actual delimitation of fields during the processing scheme of radar data.

5. Mapping of soil management practices: algorithms and results

The final algorithm is a decision tree that integrates the a priori knowledge on schedules of agricultural operations (Section 2.2), the map of initial soil surface states (Section 3), and two additional rules derived from the change detection analysis (Section 4). These rules are applied on the successive satellite observations: a decrease of near infrared reflectances, by more than 1% in relative value, as well as an increase of backscattering coefficients, indicates either harrowing or irrigation. Initial surface states provide the three main branches at the basis of the decision tree, which operates as follows:

1. On “not ploughed-not harrowed” fields: a change indicates that harrowing occurred, and the combination of ASAR and FORMOSAT images allows an optimal dating of the operation.
2. On “ploughed-not harrowed” fields: a change is also a harrow, but it can only be detected on FORMOSAT images.
3. On harrowed fields, a change indicates that irrigation occurred, and both ASAR and FORMOSAT can be used to detect this operation, provided the pre-processing of radar data was improved.

The decision tree was applied to time series of field-averaged $\rho_{H\pi}$ and $\sigma_0^{VV}$ acquired between December 8 and 16. It provided a map containing the initial surface states and, if a change is detected, both the type of agricultural operation and the period of its occurrence (Fig. 4). This map was evaluated against the field data collected on the study area (Tables 2 and 3). This analysis confirmed the performance of the algorithm:

- All the changes identified from ASAR images, between December 10 and 13, were also identified with FORMOSAT, either between December 8 and 12 or between December 12 and 16.
- No change was detected on non-cultivated fields (compare red empty polygons in Fig. 4 and orange polygons in Fig. 1).
- There was only one field for which a harrowing was detected after the sowing date declared in the database (Table 2, consistency index of 97%). Amongst wheat fields included in the ‘not ploughed-not harrowed’ category, the sowing dates of the four fields where a harrowing was identified between December 10 and 12 (red dashed polygons in Fig. 4) ranged between December 10 and 14, the – single – field where a change was identified between December 13 and 16 (red dotted polygon in Fig. 4) was declared sown on December 14, and no change was detected on the remaining three fields that were declared sown after December 16.
- Irrigation was detected on the 6 fields that were sown the earliest (between November 28 and December 6). These 6 fields were recorded as irrigated between December 12 and 16, except one for which the irrigation date was December 18 in the database.

<table>
<thead>
<tr>
<th>Initial State</th>
<th>Change detection period</th>
<th>Plot number</th>
<th>Legend</th>
</tr>
</thead>
<tbody>
<tr>
<td>Not ploughed-Not harrowed</td>
<td>10-12</td>
<td>4</td>
<td></td>
</tr>
<tr>
<td>Ploughed</td>
<td>12-16</td>
<td>9</td>
<td></td>
</tr>
<tr>
<td>Harrowed</td>
<td>13-16</td>
<td>2</td>
<td></td>
</tr>
</tbody>
</table>

Table 2. Evaluation of harvesting detection results from field observation data. Consistency index corresponds to the number of fields for which the detection is consistent with the observation (in percentage).

<table>
<thead>
<tr>
<th>Detected harvesting period</th>
<th>Fields number</th>
<th>Observed sowing period</th>
<th>Consistency index</th>
</tr>
</thead>
<tbody>
<tr>
<td>Before 08/12</td>
<td>16</td>
<td>24/12–5/01</td>
<td>100%</td>
</tr>
<tr>
<td>08/12–12/12</td>
<td>6</td>
<td>26/12–31/12</td>
<td>100%</td>
</tr>
<tr>
<td>10/12–12/12</td>
<td>4</td>
<td>10/12–14/12</td>
<td>100%</td>
</tr>
<tr>
<td>12/12–16/12</td>
<td>9</td>
<td>13/12–31/12</td>
<td>100%</td>
</tr>
<tr>
<td>13/12–16/12</td>
<td>1</td>
<td>14/12</td>
<td>100%</td>
</tr>
<tr>
<td>After 16/12</td>
<td>3</td>
<td>14/12–27/12</td>
<td>66%</td>
</tr>
</tbody>
</table>

(Table 3, consistency index of 83%). Conversely, the fields where no irrigation was detected were all declared irrigated after December 20. These findings appear satisfactory with regard to the accuracy of the field survey.

Table 3. Evaluation of irrigation detection results from field observation data. Consistency index corresponds to the same as in Table 2.

<table>
<thead>
<tr>
<th>Detected irrigation period</th>
<th>Fields number</th>
<th>Observed sowing period</th>
<th>Consistency index</th>
</tr>
</thead>
<tbody>
<tr>
<td>13/12–16/12</td>
<td>2</td>
<td>4/12–6/12</td>
<td>100%</td>
</tr>
<tr>
<td>12/12–13/12</td>
<td>4</td>
<td>1/12–13/12</td>
<td>75%</td>
</tr>
</tbody>
</table>

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6. Conclusions and perspectives

In this study, we aimed at demonstrating the feasibility to detect tillage and irrigation operations using optical and radar satellite data with high spatial resolution (~10 m) and temporal repetitiveness (a few days). The demonstration was performed using five images (three from FORMOSAT and two from ASAR) acquired within one week at the beginning of the wheat cropping season in the semi-arid Marrakech/Tensift plain. Simple mapping algorithms, i.e. band thresholding and decision tree, were applied on these images with two objectives: (1) classify soil surface states in relation with land cover and tillage practices and (2) detect the agricultural operations that occurred during the week of study.

FORMOSAT and ASAR images were found complementary to classify soil surface states. On one hand, it was shown that recently harrowed fields can be discriminated from ploughed fields on FORMOSAT images. On the other hand, the high sensitivity of ASAR data to surface roughness was useful to distinguish smooth surfaces (non-cultivated areas or wheat fields not prepared for sowing) from others (ploughed or recently harrowed fields). In this experiment, there was thus a noticeable complementarity of the two sensors, beyond the increase of the temporal density of observations.

The most innovative part of this research concerns the detection of the agricultural operations that occurred during one week. There is a general stability of FORMOSAT successive observations due to constant viewing angles. This allowed to fully take advantage of the sensitivity of reflectances to soil surface states. In contrast, the use of ASAR data is less trivial due to the variation of the sun-target-sensor geometry from the first observation to the second, the saturation of the radar response to surface roughness and the high heterogeneity of the area of study. Nevertheless, large spatial variations of reflectances and backscattering coefficients were observed, even between fields belonging to the same category of surface roughness/soil management practices. Despite this variability, drastic changes caused by ploughing or irrigation were identified without ambiguity and with a high level of confidence.

The conditions prevailing during the experiment were optimal: no clouds, low and uniform soil moisture, and absence of vegetation. Rain events, resulting in a uniform variation of satellite observations (drop of reflectances and rise of backscattering coefficients), are obviously one of the main limitation of such change detection analysis.

Besides this limitation, which is not often encountered in semi-arid areas, one major interest of our approach is the possibility to monitor agricultural operations at the beginning of the agricultural season. The perspectives concern real-time updating of land use maps (proportion of cultivated land, distribution of sowing dates, ...). This appears promising to establish prior estimates of seasonal crop water needs and to refine irrigation planning. The approach could be incorporated in decision support systems in agricultural water management and planning at a regional scale (see for instance Leenhardt et al., 2004; Satti and Jacobs, 2004; Simonneau et al., 2007).

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