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# High gene flow in oceanic bottlenose dolphins (*Tursiops truncatus*) of the North Atlantic

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## Abstract

Despite the openness of the oceanic environment, limited dispersal and tight social structure often induce genetic structuring in marine organisms, even in large animals such as cetaceans. In the bottlenose dolphin, mitochondrial and nuclear DNA analyses have revealed the existence of genetic differentiation between pelagic (or offshore) and coastal (or nearshore) ecotypes in the western North Atlantic, as well as between coastal populations. Because previous studies concentrated on continental margins, we analysed the population structure of bottlenose dolphins in two of the most isolated archipelagos of the North Atlantic: the Azores and Madeira. We analysed 112 samples collected on live animals in the two archipelagos, and nine samples collected on stranded animals in Madeira and mainland Portugal. Genetic analyses consisted in molecular sexing, sequencing of part of the mitochondrial hyper-variable region, and screening of ten microsatellite loci. We predicted that: 1/ there is at least one pelagic and one or more coastal populations in each archipelago; 2/ populations are differentiated between and possibly within archipelagos. Contrary to these predictions, results indicated a lack of population structure in the study area. In addition, comparison with published sequences revealed that the samples from the Azores and Madeira were not significantly differentiated from samples of the pelagic population of the western North Atlantic. Thus, bottlenose dolphins occurring in the pelagic waters of the North Atlantic belong to a large oceanic population, which should be regarded as a single conservation unit. Unlike what is known for coastal populations, oceanic bottlenose dolphins are able to maintain high levels of gene flow.

## Introduction

Stock assessment has become an important issue for the conservation and sustainable management of marine ecosystems. The improvement of molecular genetic techniques over the last twenty years enables to identify conservation units on the basis of genetic population structure and dynamics (e.g. Frankham et al. 2002). In the marine environment, barriers to dispersal are few, and one may expect species with high dispersal capacities to demonstrate little population structure. However, recent studies have revealed fine-scale population genetic structure in highly vagile marine organisms such as squids (Shaw et al. 1999) and cods (Knutsen et al. 2003). In marine mammals, most species show extensive structure among populations (Hoelzel et al. 2002). Population differentiation can occur on a small geographic scale, as a result of isolation by distance (e.g. in western Australian bottlenose dolphins, Krützen et al. 2004) or due to ecological specialisation in relation with habitat features (e.g. in the Pacific white-sided dolphin, Hayano et al. 2004, and the killer-whale, Hoelzel et al. 1998a).

In the bottlenose dolphin, *Tursiops truncatus* (Montagu 1821), a distinction can be made between pelagic (or offshore) and coastal (or nearshore) ecotypes (see Hoelzel et al. 1998b for a review). Mitochondrial and nuclear DNA analyses have revealed the existence of genetic differentiation between the two ecotypes in the North-West Atlantic (Hoelzel et al. 1998b). Recently, a comprehensive study showed significant differentiation between several coastal populations and two highly polymorphic pelagic populations, one in the north-eastern Pacific and one in the north-western Atlantic (Natoli et al. 2004). Some coastal populations appeared to be morphologically and genetically sufficiently distinct to be classified as a separate species, such as *T. aduncus* in Chinese waters (Wang et al. 1999; Wang et al. 2000) and a potential third species along the South African coast (Natoli et al. 2004). Pelagic forms have been reported to range primarily between the 200- and 2000 m-isobaths (cf. Wells et al. 1999). Genetic differentiation between ecotypes has been used to characterise ecotype distribution according to water depth and/or distance to the coast. In the North-West Atlantic, the pelagic ecotype occurs mainly in waters beyond 34 km from shore and 34 m depth while the coastal one occurs at least up to 7.5 km from shore (Torres et al. 2003). In the Gulf of California, a distribution break is found around the 60m-isobath (Segura et al. 2006). At a regional scale, genetic analyses evidenced differentiation between the eastern and western basins of the Mediterranean Sea (Natoli et al. 2005), as well as between coastal populations of the Gulf of Mexico (Sellas et al. 2005) and the Northern Bahamas (Parsons et al. 2006). Despite the broad geographic coverage of all these studies, bottlenose dolphins have only been studied in peri-continental areas, and virtually nothing is known about distant offshore regions. The aim of the present study was to fill in this gap, by studying the population structure of bottlenose dolphins around two of the most isolated archipelagos in the North Atlantic, the Portuguese archipelagos of the Azores and Madeira.

The archipelago of the Azores is situated about 1500 km away from the nearest coast. It comprises nine islands divided into three groups that are separated by a few hundreds of kilometres. The archipelago of Madeira is located about 500 km west of the North African coast. It comprises two main islands separated by a few dozens of kilometres and two sub-archipelagos, with a total of seven islands. Previously reported bathymetric limits between coastal and pelagic populations of bottlenose dolphins cannot apply to the Portuguese archipelagos, because deep waters (> 200 m) occur at very short distances from the coast (Santos et al. 1995). Nonetheless, in the Azores, cetacean species seem to present different habitat preferences, with a distinction between a coastal and a pelagic zone (Silva et al. 2003). Bottlenose dolphins tend to be more abundant in coastal waters, less than 9 km from the coast and shallower than 1000 m (Silva et al. 2003). Another way to distinguish between ecotypes is to look at patterns of residency, as coastal dolphins tend to be resident while pelagic ones

may be transient (Wells et al. 1999). Bottlenose dolphins are present year-round in the two Portuguese archipelagos. Separate photo-identification surveys carried out in each archipelago have shown that some individuals are sighted repeatedly in the same area at different seasons (Silva 2006; L.F., unpublished results). These animals are probably resident. On the opposite, some individuals are rarely observed in the main study area and can travel large distances. They may be visitors. Thus, it can be hypothesised that the individuals ranging in each archipelago are from at least two populations, at least one population of coastal / residents and at least one population of pelagic / transients. Alternatively, or in combination with that hypothesis, population structure could follow the geographical and physical structure of the archipelagos. Different populations could occupy the two archipelagos and there may be some population differentiation between groups of islands. In that case, a correlation between geographical and genetic distances can be expected.

We used a combination of mitochondrial DNA (mtDNA) sequences and microsatellite markers to test our predictions about the population structure of bottlenose dolphins around the Portuguese archipelagos of the Azores and Madeira. Sampling was performed at a wide range of distances from the coast (from 0.2 to 100 km) in order to cover both coastal and pelagic habitats. A few samples from the continental coast of Portugal were also analysed. Comparisons were made with published mtDNA sequences from other populations of the Atlantic Basin, in order to evaluate the degree of differentiation of the two archipelagos in relation with peri-Atlantic areas.

## **Material and methods**

### ***Study sites***

The archipelago of the Azores (AZ - Portugal, Fig. 1A and 1B) is located in the North Atlantic Ocean, about 1500 km away from the continent. It lies between the 37<sup>th</sup> and 41<sup>st</sup> northern parallel and the 25<sup>th</sup> and 31<sup>st</sup> western meridian, extending more than 480 km along a Northwest-Southeast axis and crossing the Mid-Atlantic Ridge. It is composed of nine volcanic islands divided into three groups (the eastern, central and western groups) separated by deep waters (*ca.* 2000 m) with scattered seamounts (Santos et al. 1995). Shallow waters (< 200m) occur only at very short distances from the coast, and in the channel between Pico and Faial islands. Most field work was conducted in the central group of islands (around the islands of Pico and Faial, from the harbour of Horta - 38.53°N and 28.63°W), on a daily basis. Cruises of longer duration were organized in order to cover the whole archipelago.

The archipelago of Madeira (MA - Portugal, Fig. 1A) is located in the North Atlantic Ocean, 580 km West of Morocco, Africa. It is composed of two main volcanic islands, Madeira and Porto Santo, which are separated by a stretch of 35 km getting as deep as 3000 m. It also comprises two sub-archipelagos, Desertas Islands and Selvagens Islands, located 11 km Southeast and 300 km South of Madeira, respectively. Fieldwork was conducted on the southern coast of Madeira and West of the Desertas Islands, from the harbour of Caniçal (32.7°N and 16.7°W).

Samples from mainland Portugal (hereafter "Mainland") were obtained from animals that stranded along the oceanic shoreline (38.15°N-8.73°W to 40.48°N-8.78°W).

### ***Sample collection and DNA extraction***

Skin samples were collected using a biopsy darting system (a 125-lb Barnett crossbow, with arrows and darts specially designed for small cetaceans by F. Larsen, Ceta-Dart). In the Azores, 86 biopsy samples were obtained between 2002 and 2005: 46 samples in the central

group, 24 in the eastern group, 9 in the western group and 5 around seamounts located 100 km south of the central group. All samples but two were from adult and subadult individuals, and all samples were retained for analyses. Samples were stored either in a 20% dimethyl-sulfoxide (DMSO) solution saturated with salt, or in 90% ethanol, which proved to be a better preservative than DMSO. Photographs of sampled individuals were collected for photo-identification purpose. Eight individuals were identified as being resident in the central group of islands (Silva 2006). In Madeira, 26 biopsy samples were collected in 2004 and 2005, at a maximum of 10 km from the coast of the main highland. Sampling scheme precluded any attempt to make comparisons between groups of islands. All samples were from adult individuals and stored in alcohol. Two additional samples were obtained from individuals that stranded on the coast of Madeira in 1998.

In addition, seven samples were collected on animals that stranded along the coast of mainland Portugal between 1997 and 2005. These samples were used for comparison with a continental population, despite small sample size.

Biopsy samples were classified into two categories according to habitat characteristics at sampling location, following the dichotomy suggested by previous work on cetacean distribution in the Azores (Silva et al. 2003): 1/ distance to the coast smaller than 9 km and depth lower than 1000 m (mean depth 396 m +/-266, 95% CI: 331-461 m; N=64 for the Azores and 21 for Madeira), 2/ distance to the coast larger than 9 km and depth ranging from 385 to 1655 m (mean depth 884 m +/-414, 95% CI: 698-1070 m; N=19 for the Azores and 3 for Madeira). Depth and distance to the coast at sampling location were estimated by means of a Geographic Information System.

Samples were processed at the INETI, Lisbon, Portugal. DNA extractions were performed following the protocol of Gemmel & Akiyama (1996). About 1-2 mm<sup>3</sup> of skin were minced and rinsed in dd-water prior to extraction. Digestion was extended overnight at 56°C, using recombinant proteinase K. The LiCl<sub>2</sub> precipitation and chloroform extraction were performed as described by the authors, except that the chloroform extraction was repeated twice.

## ***Mitochondrial DNA sequences***

### *Acquisition of sequences*

Part of the tRNA-Thr, the tRNA-Pro and the most variable part of the mitochondrial D-loop were amplified using the primers Dloop-16<sup>L</sup> 5'-CCCGGTCTTGTAACC-3' (Hoelzel et al. 1991) and H00034 5'-TACCAAATGTATGAAACCTCAG-3' (Rosel et al. 1994). For 26 samples, a longer fragment of 833 base pairs (bp) was obtained with the primers Dloop-16<sup>L</sup> and Dloop-19<sup>H</sup> 5'-ATTTTCAGTGTCTTGCTTT-3' (Hoelzel et al. 1991). Longer sequences were used to assess the impact of using shorter sequences on haplotype diversity. They were truncated to 604 bp before subsequent analyses.

PCR reactions were carried out in a 25 µl volume using 0.75 units of *Taq* DNA polymerase (MBI Fermentas) and 2mM MgCl<sub>2</sub>. The number of cycles was set to 35 and the annealing temperature to 52°C. PCR products were purified with the GFX PCR DNA purification kit (Amersham Biosciences), following the manufacturer's protocol. Sequencing was done on ABI-prism capillary sequencers (Applied Biosystems), at one of the following institutions: 1/ CRIBI, University of Padova, Italy, 2/ INETI, Portugal, and 3/ Macrogen, Korea. Two samples were sequenced at all three locations to ensure cross-institution reliability. All samples were sequenced with the 16<sup>L</sup> primer, using an annealing temperature of 55°C. Thirty-three samples were also sequenced with the reverse primer and no ambiguities were found. All sequences were double-checked for errors. Sequences were deposited in GenBank, with reference numbers DQ073641 to DQ073729 and DQ525357 to DQ525388.

Alignment was performed visually. Three gaps were identified. The first 23 nucleotide positions were deleted due to potential misreading at the beginning of the sequence. The final alignment was 604 bp long.

In addition, 194 published D-loop sequences of *Tursiops truncatus* were used to obtain a general picture of population structure in the Atlantic Basin (Fig. 1A). Seven populations were considered: United Kingdom (UK; N = 38: 29 sequences from Parsons et al. 2002, and 9 from Natoli et al. 2004), Mediterranean Sea (MS; N = 18; Natoli et al. 2004), North-West Atlantic Pelagic (NWAP; N = 25; Natoli et al. 2004), East Atlantic (EA; N = 17; Natoli et al. 2004), North-West Atlantic Coastal (NWAC; N = 29; Natoli et al. 2004), Bahamas (BAH; N = 55; Parsons et al. 2006), and Gulf of Mexico (GM; N = 12; Natoli et al. 2004). These populations were classified as coastal or pelagic according to the nomenclature adopted in one of the most recent studies (Natoli et al. 2004). As most fragments were only 296 bp long, all sequences were truncated to that length for the analyses within the Atlantic Basin. Two additional gaps were added for alignment with these sequences.

### *Haplotype networks*

We investigated the phyletic relationships between the haplotypes from the Portuguese archipelagos and Mainland using network-building methods. These methods are more efficient than classical phylogenetic algorithms when genetic distances between individuals are small and the number of equally parsimonious connections is high, as expected for intra-specific comparisons (Templeton et al. 1992; Crandall 1996). We selected the Median Joining approach (MJ), implemented by the software Network4 (Bandelt et al. 1999), for being one of the most efficient network-building methods available to date (Cassens et al. 2003). The homoplasy parameter ( $\epsilon$ ) was set to zero. Two weighting schemes were applied in order to account for differences in substitution rates: 1/ equal weight for all classes of changes, and 2/ weight of 10 for transitions and 30 for transversions and gaps, as suggested by the authors for a tenfold difference in mutation rates between substitution classes.

### *Population structure*

Genetic distances between and within archipelagos were calculated using the Tamura-Nei formula (Tamura & Nei 1993). Corrected distances accounting for intra-population variability ( $(\text{PiXY} - (\text{PiX} + \text{PiY})/2)$ ) were also calculated. Genetic differentiation among potential populations was assessed taking into account nucleotide differences between haplotypes ( $\Phi_{ST}$ , Weir & Cockerham 1984), after correction by the Tamura-Nei formula. Significance was assessed by a permutation procedure (10,000 permutations). These calculations were performed with Arlequin 3.1 (Excoffier et al. 2005).

Genetic differentiation between the studied populations and other populations of the Atlantic Basin was assessed by calculating  $\Phi_{ST}$  and its significance, as above. For each population, gene diversity (H) and nucleotide diversity ( $\pi$ ) were calculated using Arlequin 3.1. Shared haplotypes between populations were identified using the same software. An asymmetric estimate of the migration rate ( $N_e m$ ) between relevant populations was calculated using Migrate 2.0 (Beerli 2004). Initial runs were set estimating  $\theta$  and M with  $F_{ST}$ . Reruns were performed using the parameters estimated during the first run, with 10 short chains of 50,000 steps and 3 long chains of 500,000 steps. For each estimate of M, significant departure from zero and from a symmetric migration scheme was tested by a likelihood ratio test.

We tested for the effect of geographic distances on population structure by means of a Mantel test, using the program Genetix 4.03 (Belkhir et al. 2001). Given that the distribution of

sampling locations was three-dimensional,  $\Phi_{ST} / (1 - \Phi_{ST})$  was expected to vary linearly with the logarithm of geographic distances. Individuals were grouped according to sampling locations following two different schemes. First, we considered Madeira, the Mainland, and four populations within the Azores: the three groups of islands and the seamounts. Second, we divided the central group of islands into three groups: Graciosa (N = 4 samples), Terceira (N = 5), and the remnant islands (N = 37). The latter scheme allowed increasing the number of populations and accounting for the large distances between sampling locations within the central group, but resulted in lower sample sizes. The significance of the tests was assessed by 10,000 Monte Carlo-Markov Chain (MCMC) simulations.

In order to determine whether there was some degree of genetic differentiation between individuals sampled in "coastal" or "pelagic" habitats, we performed a Molecular Analysis of Variance (AMOVA) using Arlequin 3.1. Because differentiation between groups of islands was also expected, two alternative grouping schemes were compared: 1/ clustering of samples first according to habitats (within or beyond 9 km from the coast) and second according to groups of islands (Madeira, the three groups of Azorean islands, and the seamounts); 2/ clustering of samples first according to groups of island and second according to habitats.

Finally, we measured gene diversity (H) and nucleotide diversity ( $\pi$ ) in known resident individuals, using Arlequin 3.1.

### ***Microsatellites and molecular sexing***

#### *Data acquisition*

Ten polymorphic dinucleotide microsatellite loci were analysed: d22 (Shinohara et al. 1997), EV5, EV14, EV37 (Valsecchi & Amos 1996), FCB1, FCB17 (Buchanan et al. 1996), Mk6, Mk8 (Kruz̃en et al. 2001), Sw10 and Sw19 (Richard et al. 1996). PCR reactions were performed in multiplex whenever possible, applying a touched-down decrease in annealing temperatures: Sw19 and Sw19 (50  $\rightarrow$  47.5°C); FCB1, FCB17 and EV37 (56  $\rightarrow$  53°C); Mk6, Mk8, d22 (56  $\rightarrow$  53°C). Fragments were scanned on an ABI 310 capillary sequencer using the size marker ROX350 (Applied Biosystems). Molecular sexing was performed by co-amplification of a short fragment of the male-specific SRY gene (CSY, 157 bp, Abe et al. 2001) and a monomorphic microsatellite fragment used as a PCR control for positive identification of females (Sw15, 234 bp, Richard et al. 1996).

#### *Polymorphism control*

Prior to analyses, genotypes were checked for potential errors and replicated individuals using Microsatellite Tools (MsTools, Park 2001). Genotyping was repeated whenever necessary. There were three cases of individuals that had been sampled twice in the Azores and one case in Madeira. The duplicated samples were removed from all data sets, so that the final number of individuals analysed was 83 for the Azores, and 27 for Madeira.

Polymorphism was estimated as the number of alleles per locus, observed heterozygosity ( $H_O$ ), unbiased expected heterozygosity ( $H_E$ ), and polymorphism information content (PIC; Botstein et al. 1980), using Cervus (Marshall et al. 1998). The PIC, which is based on expected heterozygosity and the number of alleles per site, is representative of the diversity found at each locus. Departure from Hardy-Weinberg (HW) frequencies within populations was tested with FSTAT 2.9.3 (Goudet 2001) using a randomization procedure. A sequential Bonferroni correction was applied to compensate for multiple tests (Rice 1989). For the main two populations, global  $F_{IS}$  was calculated and its significance estimated by a permutation procedure (10,000 replicates) using Genetix 4.03 (Belkhir et al. 2001).

### *Population structure*

Preliminary analysis of allele-size distribution indicated that half of the loci seemed to conform to the uni- or bi-modal distribution of allele sizes expected under the Stepwise Mutation Model (SMM, Ohta & Kimura 1973). Other loci presented multimodal distributions and/or large gaps in allele sizes, more in agreement with the Infinite Allele Model (IAM, Kimura & Crow 1964) or the Two Phase Model. Thus, genetic differentiation among potential populations was assessed based on both the IAM model ( $F_{ST}$ , Weir & Cockerham 1984) using Arlequin 3.1, and the SMM model ( $R_{ST} = \rho_{ST}$  of Slatkin 1995) using RstCalc (Goodman 1997). In the latter case, data were standardized in order to compensate variance differences between loci. Significance was assessed by a permutation procedure (10,000 permutations). The influence of allele size on population differentiation was tested with the permutation test implemented in SPAGeDi 1.1b (Hardy & Vekemans 2002). Note that, although  $R_{ST}$  was designed especially for microsatellites and accounts for differences in allele sizes,  $F_{ST}$  was shown to be more reliable than  $R_{ST}$  when sample size is limited (Gaggiotti et al. 1999) and when gene flow is high (Balloux & Goudet 2002). Therefore,  $F_{ST}$  should be preferred to  $R_{ST}$  when allele size does not contribute to population differentiation. An asymmetric estimate of the migration rate ( $N_e m$ ) between the Azores and Madeira was calculated using Migrate 2.0 (Beerli 2004), as described above, and using the Brownian motion model.

Population structure was also evaluated by Bayesian analyses, using the software Structure 2.1 (Pritchard et al. 2000). We examined the possibility of an undetected population structure by carrying out MCMC simulations with no prior information on the origin of samples. The maximum number of populations ( $K$ ) was assumed to vary between 1 and 6. For each potential value of  $K$ , five replications were performed. The program was also run for the whole data set using prior knowledge on geographic population structure, in order to identify potential migrants. Three populations were considered based on sample origin: Azores, Madeira and Mainland. In both cases, the number of steps for the burning process and the simulations was set to 50,000.

We tested for the effect of geographic distances on population structure by means of a Mantel test, as explained above, but replacing  $\Phi_{ST}$  by  $F_{ST}$ . Given the difficulty of defining boundaries between groups and the high mobility of focus animals, we also performed the Mantel test at the individual level, using Alleles In Space 1.0 (AIS; Miller 2005). The genetic distance implemented in this program is an analogue of Nei's distance (Nei et al. 1983) applied to pair of individuals. Log-transformed geographic distances were used and significance was assessed by 10,000 permutations.

The degree of genetic differentiation between individuals sampled in "coastal" or "pelagic" habitats was estimated by means of an AMOVA, as described above. Because haplotypes clustered in two distinct groups (cf. result section), an AMOVA was also performed to determine how microsatellite diversity was partitioned between haplotype groups.

Mean degree of relatedness between resident individuals was compared to mean relatedness in the Azores based on Moran's  $I$  coefficients calculated with SPAGeDi 1.1b (Hardy & Vekemans 2002). This coefficient was chosen because it is defined in a way that mean relatedness is zero for the whole population. Actual variance and standard deviation were estimated by the method of Ritland (2000).

Sex-biased dispersal was tested using the program FSTAT 2.9.3 (Goudet 2001) based on sex-specific expectations with respect to  $F_{IS}$ ,  $F_{ST}$ , and a likelihood assignment index. Significance



was tested by 10,000 permutations. The analysis was performed twice, using adults only, and using adults and subadults, as a mean to increase sample size.

## Results

Molecular sexing indicated a sampling bias in favour of males in the Azores. Excluding the samples from stranded animals (2 males from Madeira), there were 61 males and 22 females in the Azores (sex-ratio = 2.77:1) and 13 males and 12 females in Madeira (sex-ratio = 1.08:1).

### *Mitochondrial DNA sequences*

#### *Variability*

Among the 26 Azorean samples for which long sequences were obtained, long sequences (833 bp) revealed 19 different haplotypes, while short sequences (604 bp) corresponded to 18 haplotypes, resulting in a loss of 5.3 %.

For the entire set of 604 bp sequences, there were 37 different haplotypes out of 83 samples for the Azores, 16 out of 25 samples for Madeira (note that two samples from Madeira could not be sequenced) and 5 out of 7 samples for the Mainland. Gene diversity and nucleotide diversity were high at the three locations and similar to the values obtained for the 296 bp sequences (cf. Table 4).

#### *Population structure within and between archipelagos*

Twelve haplotypes were shared between the Azores and Madeira. The mean Tamura-Nei distance between archipelagos was 7.17, but lowered to 0.47 after correction for intra-population polymorphism. Within the Azores, the mean Tamura-Nei distance was 7.45, while it was 5.95 in Madeira.

In the Azores, fixation indexes indicated a lack of population differentiation between all sampling sites, except between the central and western groups of islands (Table 1A). Comparisons between the Azores, Madeira and the Mainland revealed significant differentiation between the two archipelagos ( $\Phi_{ST} = 0.059$ ,  $p = 0.017$ ), but no significant differentiation with regards to comparisons involving the Mainland (Table 1B). Estimates of migration rates indicated that gene flow was very high from Madeira to the Azores (Table 2), and significantly higher than in the opposite direction ( $p < 0.001$ ). Mantel tests were not significant when performed on the originally defined populations ( $Z = 4.200$ ,  $p = 0.254$ ) or on refined populations (central group of islands subdivided between Terceira, Graciosa, and the remnant islands:  $Z = 1.103$ ,  $p = 0.200$ ).

The AMOVA indicated that most (> 95 %) of the genetic variance was found within groups of samples collected in the same habitat and the same group of islands (Table 3). Clustering the samples first according to groups of islands and then according to habitats (Table 3A), or the reverse (Table 3B), had little impact on the output of the analysis. The proportion of variance attributed to differentiation between habitats was negative, while the proportion found between groups of islands was positive. None of the fixation indices was significant.

In the eight known resident individuals of the Azores, a high level of molecular diversity was found ( $H = 1$  and  $\pi = 0.016$ ). These values were similar to those found for the whole Azorean sample (see above).

The Median Joining network showed that haplotype clustering was independent of sampling location (Fig. 2). The application of differential weights did not alter significantly the phyletic relationships between haplotypes. The weighted network was identical to the unweighted one, except for the breakage of three loops. The network revealed two main groups of haplotypes separated by a large genetic distance. Mean Tamura-Nei distance between the two clusters was 12.88, and only 7.84 after correction for within cluster polymorphism.

#### *Population structure within the Atlantic Basin*

Shortening the sequences from 604 to 296 bp resulted in the loss of eight haplotypes for the Azores (21.6%) and two for Madeira (12.5%). Shorter sequences could result in overestimation of similarity, but we verified that using shorter sequences had little impact on the evaluation of population differentiation between the Azores and Madeira ( $\Phi_{ST} = 0.057$ ,  $p = 0.036$ ).

The high gene and nucleotide diversities found in the Azores and Madeira were comparable to the values obtained for the North-West Atlantic Pelagic and Mediterranean Sea populations (Table 4). The studied populations shared haplotypes with the Mediterranean Sea and most populations of the Atlantic Ocean (Table 4). No haplotypes were shared with the coastal populations of the North-West Atlantic, Bahamas and Gulf of Mexico.

The populations of the Portuguese archipelagos were significantly differentiated from all the populations of the Atlantic Basin, except the North-West Atlantic Pelagic population. The population of Madeira was also not differentiated from that of the Eastern Atlantic (Table 5). For both archipelagos, the highest levels of differentiation were found with the coastal populations of the North-West Atlantic, Bahamas and Gulf of Mexico. Estimates of migration rates indicated significant gene flow between the Azores, Madeira and the NWAP population (Table 2). Gene flow was relatively high from the NWAP to the Azores, but not significantly higher than in the opposite direction ( $p = 0.110$ ).

#### *Microsatellites*

##### *Variability*

The ten selected loci presented a high level of allelic diversity (13.5 alleles on average) despite the low variability of two loci (EV5 and Sw10, with three alleles each; Table 6). Expected heterozygosity and polymorphism information contents were high. These values, which were calculated for the whole dataset, were quite similar to those obtained for each of the main two populations. All loci appeared to be in HWE after application of a Bonferroni correction (Table 6). The inbreeding coefficient calculated over all loci was non-significant for the Azores ( $F_{IS} = 0.012$ ,  $p = 0.179$ ) and Madeira ( $F_{IS} = 0.014$ ,  $p = 0.326$ ).

##### *Population structure within and between archipelagos*

Apart from a significant  $R_{ST}$ -value between the central and western groups of islands in the Azores ( $R_{ST} = 0.032$ ,  $p = 0.046$ ), there was no evidence of population differentiation within the Azores or between the three study sites (Tables 7A and 7B). Allele size appeared not to play a significant role in determining population differentiation ( $p$ -values were non significant), suggesting that  $F_{ST}$  should be preferred to  $R_{ST}$ . Estimates of migration rates between the Azores and Madeira indicated significantly higher gene flow from (Nm = 26.3; 95% CI = 23.1-29.9) than to the Azores (Nm = 1.1; 95% CI = 0.9-1.3;  $p < 0.001$ ). Population-based Mantel tests were not significant when performed on the originally defined populations

( $Z = 0.067$ ,  $p = 0.914$ ) or on refined populations ( $Z = 0.307$ ,  $p = 0.460$ ). Individual-based Mantel test was also not significant ( $r = 0.002$ ,  $p = 0.452$ ).

The AMOVA indicated that 99.8 % of the genetic variance was found within groups of samples collected in the same habitat and the same group of islands (Table 3). Less than 0.4 % of variance was explained by comparing samples between groups of islands or habitats. None of the fixation indices was significant.

The mean relatedness between resident individuals (Moran's  $I = 0.000 \pm 0.055$ ) was similar to the mean relatedness of the whole Azorean sample ( $0.000 \pm 0.008$ ).

Bayesian analyses performed on unassigned individuals failed to uncover any population structure. The highest likelihood value was obtained for  $K = 1$  and assignment indexes were close to  $1/K$ . When running the analysis with predefined populations, all the samples had a higher probability to come from the population they had been collected in. This was likely due to lack of differentiation between populations (Kullback-Leibler distances varied between 0.00 and 0.03).

Tests for sex-biased dispersal did not yield significant differences between sexes for any of the indicators. Furthermore, while two indicators tended to match the expectations for higher dispersal in males than females ( $F_{ST} = 0.007$  for females and  $-0.004$  for males,  $p = 0.224$ ; Variance of Assignment Index = 8.79 for females and 10.48 for males,  $p = 0.615$ ), the other two showed the opposite trend ( $F_{IS} = 0.055$  for females and  $0.009$  for males,  $p = 0.912$ ; Mean Assignment Index =  $-0.638$  for females and  $0.263$  for males,  $p = 0.891$ ;  $N = 26$  females and 63 males). When the tests were repeated using both adults and subadults, similar results were obtained.

#### *Population differentiation between haplotype groups*

The AMOVA revealed that 100 % of the microsatellite variance was found within haplotype groups.  $F_{ST}$  was negative and not significant ( $F_{ST} = -0.0004$ ,  $p = 0.554$ ), indicating that microsatellite diversity tended to be higher within than among haplotype groups.

## **Discussion**

### *Variability*

In the Azores, sampling was strongly biased in favour of males (male / female sex-ratio = 2.8). This bias was probably a sampling artefact, as it seemed that adult females tended to avoid the boat, especially when accompanied by young calves. However, no such bias was observed in the samples from Madeira, suggesting a potential difference in dolphins' behaviour or an actual difference in sex-ratio between archipelagos. Results might have been influenced by the fact that field work was conducted year-round in Madeira, but only in summer in the Azores, when many young calves were present.

MtDNA sequences showed a high gene and nucleotide diversity in our samples. The values were similar to those obtained for the North-West Atlantic Pelagic population and for the Mediterranean Sea (Table 4; see also Natoli et al. 2004). Sequencing of 604 bp of the most variable part of the D-loop rather than a longer fragment (833 bp) had little effect on diversity assessment (loss of diversity = 5.3%). Using the shorter fragment available for most samples from the Atlantic Basin resulted in a loss of diversity of 21.6 % for the Azores and 12.5 % for Madeira.

The ten selected microsatellite loci were globally very polymorphic and showed high polymorphism information contents. The mean number of alleles and level of heterozygosity

were comparable to those reported for the North-West Atlantic Pelagic population and for the Mediterranean Sea (Natoli et al. 2004). Such high values are typical of large panmictic populations (Frankham et al. 2002).

#### *Absence of population structure within and between archipelagos*

Within the Azores, the western group of islands appeared to be slightly differentiated from the central group with respect to female-transmitted mtDNA and possibly microsatellites ( $R_{ST}$  but not  $F_{ST}$ ). However, the western group was not differentiated from the eastern one, despite a greater geographic distance. The significant differentiation of the western group might be due to a sampling bias, as only nine samples were obtained from that area. This question requires further examination.

MtDNA indicated significant differentiation between the Azores and Madeira, but not between the two archipelagos and the Mainland (Table 1B). This latter result could be due to small sample size for the Mainland. Microsatellites showed no population differentiation between the three study sites (Table 7B). Estimates of asymmetric migration rates revealed high gene flow between the two archipelagos (Table 2). While mtDNA indicated high gene flow from Madeira to the Azores, microsatellites suggested the opposite. For both markers, Mantel tests did not show any significant effect of isolation by distance. The AMOVA analyses performed on samples grouped according to groups of islands and habitats revealed little differentiation between groups of islands and no differentiation between habitats (Table 3). Furthermore, Bayesian analyses of microsatellite data performed on unassigned individuals failed to uncover any population structure, suggesting that there was no cryptic population structure depending on undetected factors.

MtDNA haplotypes clustered in two well differentiated groups that were independent of the geographical origin of samples (Fig. 2). We intended to know whether these phylogenetic groupings were underlying differentiation between coastal and pelagic populations. We verified that haplotype type was independent of individual grouping patterns, sampling location and geographic features. In half of the cases where more than one individual was sampled within a group (and thus at a given sighting location), there were individuals bearing haplotypes of each of the two types. Samples obtained at the seamounts, situated 100 km from the shore, also fell into the two haplotype groups. In addition, the AMOVA performed on microsatellite data revealed that all of the genetic variance was found within haplotype groups. The negative and non significant  $F_{ST}$ -value between haplotype groups indicated the existence of high gene flow between them. Thus, we can reject the hypothesis that haplotype groups are reflecting differentiation between coastal and pelagic populations. These groups would rather be a heritage of past environmental changes and population isolation during glacial stages, as observed in other cetacean species (Hoelzel et al. 2002).

In the Azores, eight individuals could be identified as resident in the central group of islands based on photo-identification data (Silva 2006). These individuals showed a high level of mtDNA diversity, similar to that found for the whole Azorean sample. Microsatellites indicated that their mean relatedness was similar to that of the whole Azorean sample. Although gene flow between resident and non resident individuals could not be quantified (because lack of recognition as a resident does not imply that an individual is not resident), results suggest that there is substantial gene flow between resident and potentially transient bottlenose dolphins in the Azores. Interbreeding must be facilitated by the extensive ranging behaviour of some individuals and by a lack of habitat partitioning (Silva 2006), which facilitate encounters between groups.

The fact that genetic differentiation was lower for microsatellites (biparental inheritance) than for mtDNA (female transmission) suggested that dispersal could be higher in males than in females. In several cetacean species, microsatellites also display less population structure than mtDNA (Hoelzel et al. 2002). This pattern is usually interpreted as male-mediated gene flow, as in western Australian bottlenose dolphins (*Tursiops* sp., Krützen et al. 2004). In the present study, the tests for sex-biased dispersal did not support the hypothesis of higher gene flow in males than females. While the outcome of our tests might have been flown by small sample sizes, the same tests applied to *T. truncatus* populations of the eastern North Atlantic and the Mediterranean and Black Seas also showed no evidence for sex-biased dispersal (Natoli et al. 2005). By contrast, a similar procedure revealed sex-biased dispersal in Australian resident bottlenose dolphins of the species *T. aduncus* (Möller & Beheregaray 2004), suggesting that there might be some intra-generic differences in sex-biased dispersal.

#### *Population differentiation based on mtDNA within the Atlantic Basin*

MtDNA sequences indicated that the populations of the Azores and Madeira were significantly differentiated from all the Atlantic Basin populations except the North-West Atlantic Pelagic population (Table 5). The  $\Phi_{ST}$  values obtained between these populations were very low ( $\Phi_{ST} = 0.035$  and  $0.054$ , which is close to the "low gene flow" limit of  $0.05$ , Wright 1978). The populations of the Azores and Madeira would thus be of the pelagic type, despite the fact that bottlenose dolphins are primarily encountered within 9 km from the shore in Azorean waters (Silva et al. 2003). This result is consistent with the specificity of the marine habitat around the islands of the Azores and Madeira. These volcanic islands are virtually devoid of typical coastal habitat, due to the absence of a continental shelf and the occurrence of deep waters at short distances from the coast (e.g. Santos et al. 1995).

Estimates of migration rates indicated that the eastern and western Atlantic pelagic populations were actually exchanging migrants, but that gene flow was relatively low as compared to that observed between Madeira and the Azores (Table 2). The existence of high gene flow in the North-East Atlantic was unexpected. A recent study showed a high level of gene flow between the eastern North Atlantic and the western Mediterranean, but in that case, the two populations were contiguous and only separated by the Strait of Gibraltar (Natoli et al. 2005). Worldwide, gene flow tends to be restricted in both sexes (Natoli et al. 2004). In West Australian bottlenose dolphins, *Tursiops* sp., gene flow appears to be restricted over short distances (Krützen et al. 2004). The discrepancy between previous studies and ours might be explained by the fact that, while the former mostly dealt with coastal populations, the latter investigated a pelagic population. Consistently, in common dolphins (genus *Delphinus*), Natoli and collaborators (2006) found low genetic differentiation among pelagic populations across a large geographic scale. The authors' interpretation was that pelagic populations would tend to show high genetic variability and low population differentiation, as a result of high mobility and fluid social structure.

Results suggest that pelagic bottlenose dolphins are able to maintain a high level of gene flow over very large distances. However, it is unclear whether individual dolphins actually cross the thousands kilometres that separate the two Portuguese archipelagos and these archipelagos from the continental shelves. Satellite-tracking of two pelagic bottlenose dolphins in the North-West Atlantic has shown that they were able to travel very large distances in a short period of time (up to 4200 km along a 2500 km axis in 47 days; Wells et al. 1999). Whatsoever, a preliminary comparison of the photo-identification catalogues of the Azores and Madeira did not reveal any individual in common (M.A.S. and L.F., unpublished). Gene flow might be achieved indirectly, through genetic admixture between social groups. This process must be facilitated by the fission-fusion social structure which characterises the

species (cf. Connor et al. 2000). Accordingly, high levels of gene flow were found in terrestrial species with a fission-fusion social system, such as chimpanzees (Gagneux et al. 2001).

Given that present results are only based on mtDNA sequences, we recommend that large scale microsatellite analyses encompassing the North Atlantic are performed. Such analyses will allow to determine whether the observed lack of population differentiation between the North-West Atlantic and the Portuguese archipelagos is actually the consequence of present gene flow and to characterize the geographic extent of this oceanic population.

### *Conclusions*

This study suggests that there is a single population of bottlenose dolphins in the pelagic waters of the North-East Atlantic, and that this population is not significantly differentiated from the pelagic population of the North-West Atlantic. The absence of a genetically differentiated coastal ecotype around the North-East Atlantic Islands was unexpected, given the prevalence of this finding in the Atlantic and Pacific Oceans (Hoelzel et al. 1998b; Segura et al. 2006) and the pattern of residency observed in the Azores (Silva 2006). However, it is consistent with the steep topography of the area, with deep waters occurring at short distances from the coast. The lack of geographic structuring suggests larger home ranges and/or higher dispersal in the study area than in previously studied populations, as suggested by a photo-identification study (Silva 2006). These findings are probably related to behavioural differences between populations exploiting coastal versus pelagic habitats.

The non-differentiation between the North-East and the North-West Atlantic pelagic populations was also unexpected. This latter result implies higher levels of gene flow than previously known in this species, and indicates that the supposedly poorly productive waters of the deep Atlantic do not prevent gene flow. Although requiring confirmation by microsatellite analyses, this finding suggests the existence of a single oceanic population in the mid-latitude pelagic waters of the North Atlantic Ocean. From a conservation standpoint, this population can be regarded as a single management unit, characterised by high genetic diversity and large population size. It is probably not threatened in the short time, but it still raises a conservation issue. As local threats may impact the whole population, there is a need for concerted conservation policies at the scale of the North Atlantic. Preserving this pelagic population is all the more important as it may act as a pool for inshore populations (cf. Natoli et al. 2004) and enable recovery following dramatic events.

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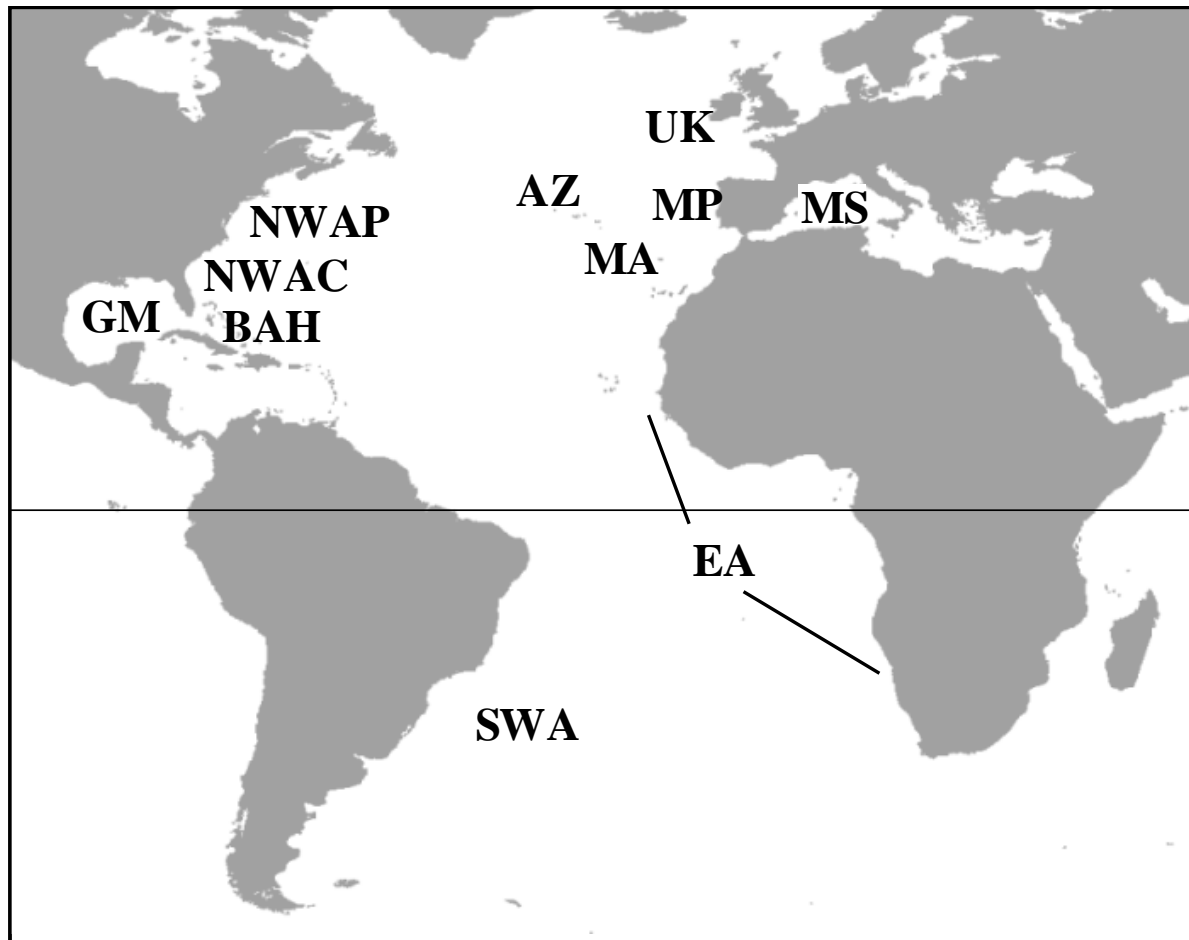
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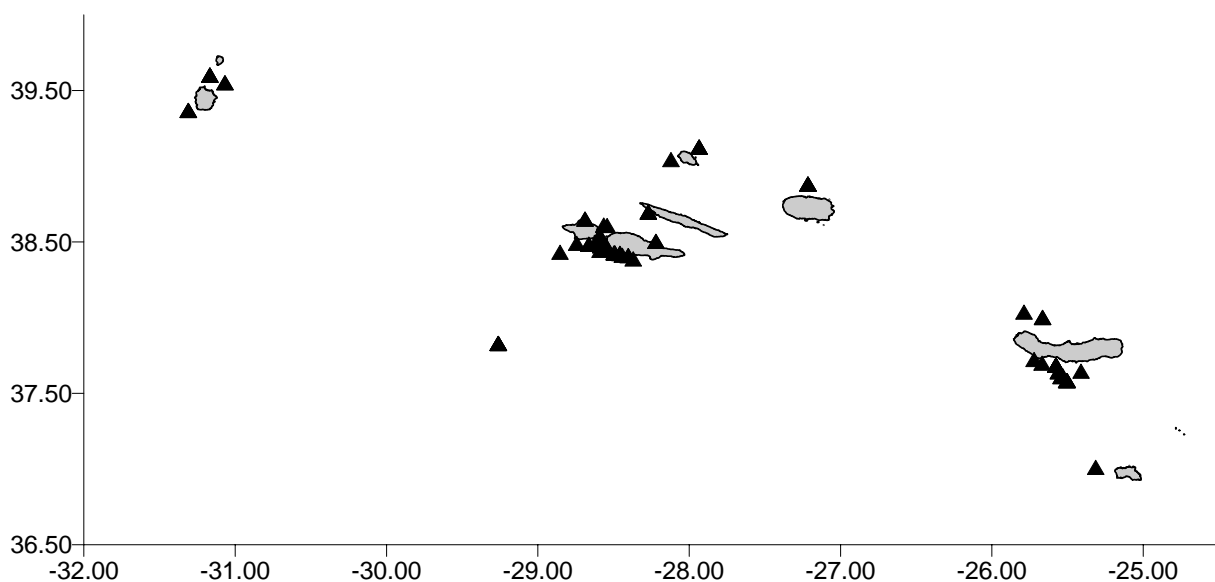
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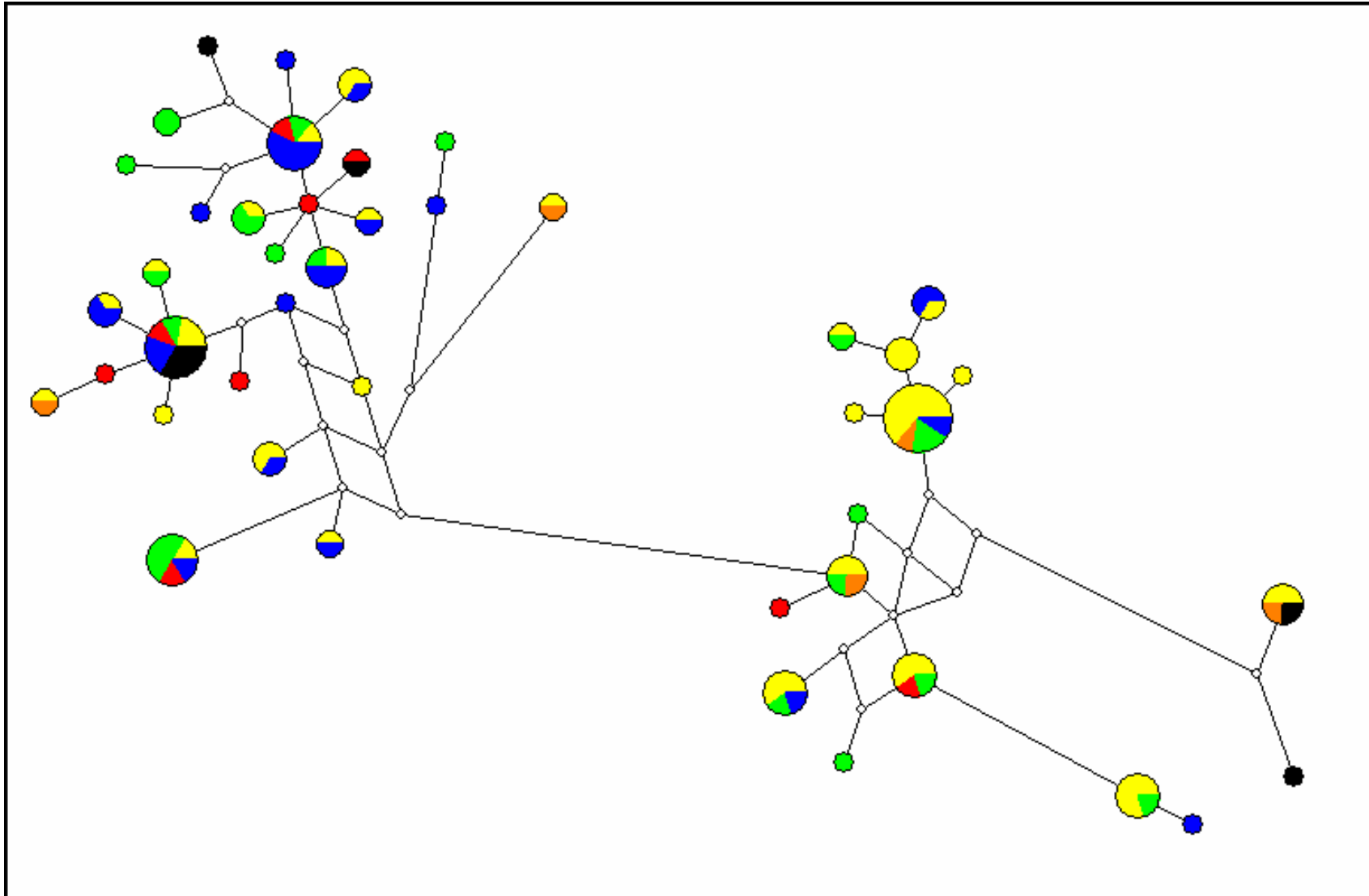
**Figure 1. A.** Map of the Atlantic Basin showing the populations for which mtDNA sequences were available (Sampling site abbreviations: AZ: Azores, MA: Madeira, MP: Mainland Portugal, UK: United Kingdom, MS: Mediterranean Sea, NWAP: North-West Atlantic Pelagic, EA: East Atlantic, SWA: South-West Atlantic, NWAC: North-West Atlantic Coastal, BAH: Bahamas, GM: Gulf of Mexico).



**B.** Enlarged map of the Azorean archipelago, with sample collecting sites indicated by plain triangles. One triangle may represent more than one sample.



**Figure 2.** Median Joining network obtained with differential weighting of transitions, transversions and indels. Circle size is proportional to the number of samples. Filling patterns represent the provenance of the samples (red = western, yellow = central, orange = seamounts, green = eastern group of Azorean islands, blue = Madeira, black = Mainland). Connector length is proportional to the number of substitutions. Small open circles represent potential intermediate haplotypes that were not sampled.



**Tables 1.** Population differentiation within the archipelago of the Azores (2A) and between the Portuguese archipelagos and Mainland (2B) based on 604 bp-long D-loop sequences:  $\Phi_{ST}$  with level of significance (\*:  $p < 0.05$ , \*\*:  $p < 0.01$ ).

<i>A</i>	<i>N</i>	<i>Western</i>	<i>Central</i>	<i>Seamounts</i>	<i>Eastern</i>
Western	9	-	0.163**	0.121	0.025
Central	46		-	-0.052	0.032
Seamounts	5			-	-0.011
Eastern	23				-

<i>B</i>	<i>n</i>	<i>Azores</i>	<i>Madeira</i>	<i>Mainland</i>
Azores	83	-	0.059*	0.089
Madeira	25		-	0.020
Mainland	7			-

**Table 2.** Asymmetric migration rates (Nm) with 95% confidence intervals (between brackets) between populations, based on DNA sequences. All estimates were significantly different from zero ( $p < 0.001$ ).

<i>From \ To</i>	<i>n</i>	<i>Azores</i>	<i>Madeira</i>	<i>NWAP</i>
Azores	83	-	0.4 [0.1-3.9]	1.0 [0.2-8.6]
Madeira	25	222.1 [67.7-671.3]	-	9.5 [2.3-30.3]
NWAP	36	10.6 [2.3-220.1]	1.4 [0.3-6.4]	-

**Tables 3.** AMOVA with samples grouped first according to island groups (island gr.) and then according to habitats (4A), or first according to habitats and then according to island groups (4B) based on D-loop sequences (Tamura-Nei distances) and microsatellites (number of different alleles).

<i>A</i>		<i>D-loop</i>				<i>Microsatellites</i>			
<i>Source of variation</i>	<i>df</i>	<i>% var</i>	<i>F</i>	<i>p</i>	<i>df</i>	<i>% var</i>	<i>F</i>	<i>p</i>	
Among habitats (Fct)	1	-3.78	-0.038	0.951	1	-0.02	-0.001	0.335	
Among island groups within habitats (Fsc)	7	5.28	0.051	0.172	7	0.21	0.002	0.352	
Within island gr. (Fst)	98	98.50	0.015	0.074	207	99.81	0.002	0.329	

<i>B</i>		<i>D-loop</i>				<i>Microsatellites</i>			
<i>Source of variation</i>	<i>df</i>	<i>% var</i>	<i>F</i>	<i>p</i>	<i>df</i>	<i>% var</i>	<i>F</i>	<i>p</i>	
Among island gr. (Fct)	4	8.60	0.086	0.250	4	-0.20	-0.002	0.447	
Among habitats within island gr. (Fsc)	4	-4.54	-0.050	0.703	4	0.39	0.004	0.085	
Within habitats (Fst)	98	95.95	0.041	0.076	207	99.81	0.002	0.329	

df: degree of freedom, % var: percentage of variance, F: fixation indices, p: probability of significance.

**Table 4.** Gene diversity (H) and nucleotide diversity ( $\pi$ ) by population with standard deviation (s.d.), and haplotype sharing between the Portuguese archipelagos and Mainland and the Atlantic Basin populations, based on truncated 296 bp sequences.

	<i>Azores</i>	<i>Madeira</i>	<i>Mainland</i>	<i>NWAP</i>	<i>EA</i>	<i>MS</i>	<i>UK</i>	<i>BAH</i>	<i>GM</i>	<i>NWAC</i>
Study	This study	This study	This study	Natoli et al. 2004	Natoli et al. 2004	Natoli et al. 2004	Parsons et al. 2002*	Parsons et al. 2006	Natoli et al. 2004	Natoli et al. 2004
N. samples	83	24	7	25	17	18	38	55	12	29
N. haplotypes	29	14	5	11	5	11	7	31	6	6
H	0.957	0.927	0.857	0.877	0.743	0.935	0.452	0.668	0.818	0.426
s.d.	0.008	0.033	0.137	0.049	0.086	0.035	0.097	0.061	0.084	0.113
$\pi$	0.015	0.012	0.014	0.022	0.016	0.022	0.009	0.008	0.007	0.006
s.d.	0.008	0.007	0.008	0.012	0.009	0.012	0.006	0.005	0.005	0.004
Published reference										
AF268357G	1	0	1	0	0	0	1	0	0	0
AF268357I	10	1	0	0	0	0	1	0	0	0
ENA1	3	1	0	0	2	0	2	0	0	0
MS1	2	0	0	1	3	3	28	0	0	0
MS4	8	4	3	0	8	3	0	0	0	0
MS5	3	1	0	0	0	1	0	0	0	0
MS7	4	1	0	0	0	2	0	0	0	0
MS9	0	0	1	0	0	1	0	0	0	0
WNAPd	1	1	0	2	0	0	0	0	0	0
WNAPe	2	3	0	2	0	0	0	0	0	0
WNAPw	1	0	0	3	0	0	0	0	0	0
WNAPx	3	5	0	3	0	0	0	0	0	0

UK: United Kingdom (\* including 9 sequences from Natoli et al. 2004), MS: Mediterranean Sea, NWAP: North-West Atlantic Pelagic, EA: East Atlantic, SWA: South-West Atlantic, NWAC: North-West Atlantic Coastal, BAH: Bahamas, GM: Gulf of Mexico

**Table 5.** Population differentiation between the Portuguese archipelagos and the Mainland, and other populations of the Atlantic Basin, based on 296 bp-long D-loop sequences:  $\Phi_{ST}$ , with level of significance (\*:  $p < 0.05$ , \*\*:  $p < 0.01$  and \*\*\*:  $p < 0.001$ ).

	<i>Ecotype</i>	<i>n</i>	<i>Azores</i> ( <i>n</i> = 83)	<i>Madeira</i> ( <i>n</i> = 24)	<i>Mainland</i> ( <i>n</i> = 7)
NWAP	<i>Pelagic</i>	25	0.035	0.054	0.101
EA	?	17	0.126**	0.026	0.019
MS	<i>Coastal</i>	18	0.104**	0.096*	0.006
UK	<i>Coastal?</i>	38	0.354***	0.374***	0.435***
BAH	<i>Coastal</i>	55	0.583***	0.648***	0.696***
GM	<i>Coastal</i>	12	0.584***	0.681***	0.727***
NWAC	<i>Coastal</i>	29	0.614***	0.703***	0.754***

NWAP: North-West Atlantic Pelagic, EA: East Atlantic, MS: Mediterranean Sea, SWA: South-West Atlantic, UK: United Kingdom, BAH: Bahamas, GM: Gulf of Mexico, NWAC: North-West Atlantic Coastal.

**Table 6.** Locus-specific information: allelic diversity ( $K$ ), observed ( $H_O$ ) and expected ( $H_E$ ) heterozygosity, Polymorphism Information Content (PIC), and probability of departure from Hardy-Weinberg Equilibrium within populations (HWE  $p$ -value).

<i>Locus</i>	<i>K</i>	<i>H<sub>O</sub></i>	<i>H<sub>E</sub></i>	<i>PIC</i>	<i>HWE (p)</i>
D22	11	0.846	0.869	0.851	0.279
EV5	3	0.558	0.556	0.460	0.586
EV14	14	0.870	0.894	0.881	0.234
EV37	25	0.889	0.891	0.877	0.463
FCB1	12	0.812	0.832	0.812	0.338
FCB17	25	0.906	0.887	0.873	0.818
Mk6	19	0.922	0.893	0.879	0.880
Mk8	10	0.692	0.769	0.734	0.032
Sw10	3	0.621	0.595	0.524	0.740
Sw19	13	0.836	0.874	0.856	0.161
Mean	13.5	0.992	0.806	0.775	
+/-s.d.	+/- 7.7	+/-0.127	+/-0.128	+/-0.156	



**Tables 7.** Population differentiation within the archipelago of the Azores (7A) and between the Portuguese archipelagos and Mainland (7B) based on microsatellites:  $F_{ST}$  (below diagonal) and  $R_{ST}$  (above diagonal) with level of significance (\*:  $p < 0.05$ , \*\*:  $p < 0.01$ , \*\*\*:  $p < 0.001$ ).

<i>A</i>	<i>n</i>	<i>Western</i>	<i>Central</i>	<i>Seamounts</i>	<i>Eastern</i>
Western	9	-	0.032*	0.028	0.035
Central	46	0.007	-	0.034	-0.011
Seamounts	5	-0.010	0.001	-	0.021
Eastern	23	0.002	-0.001	-0.001	-

\*  $p = 0.046$

<i>B</i>	<i>n</i>	<i>Azores</i>	<i>Madeira</i>	<i>Mainland</i>
Azores	83	-	-0.001	0.027
Madeira	27	0.001	-	0.010
Mainland	7	0.011	0.014	-